



# Corporate Actions Service

Interactive Data delivers award-winning comprehensive, global corporate actions and related information throughout the day that can help firms with their trading strategies and more effectively manage their risk exposure across the enterprise.

## ■ Timely corporate actions data across the organisation

Timely delivery of corporate actions data is essential across the enterprise to help financial firms understand and analyse their risk exposure, help support investment strategy and trading operations, keep clients informed of pending events that may affect their decisions – as well as for portfolio administration and accounting functions. Failure to properly handle even a single corporate action – many of which are complex – can result in significant damage to reputation and exposure to financial risk.

By understanding this necessity for timely delivery of corporate actions data, Interactive Data can help clients meet these challenges by offering intra-day delivery every hour throughout the trading day. Clients are able to benefit from a timely source of mission critical information, covering over 100 announcement types for equities and fixed-income securities for the global markets.

## ■ Benefits

- **Overall quality – decades of experience** in gathering, updating, validating and delivering corporate actions data, covering multiple markets and instrument types, to financial institutions worldwide.
- **Coverage** – wide range of global content with **exceptional depth of data**, including corporate actions, dividends and capital events information.
- **Timeliness** – intra-day capability with **delivery every hour** throughout the trading day.
- **Ease of use** – delivered in ISO 15022 format via FTS<sup>SM</sup>, Interactive Data's flexible securities administration tool.
- **Streamlined client integration** – reengineered innovative platform designed to streamline client integration, enabling clients to **easily import, track and process** corporate actions

## ■ Exceptional depth of data

Interactive Data's Corporate Actions Service provides a wide range of content with exceptional depth of data, including corporate actions, dividends and capital events information for more than 80,000 global companies and 120 exchanges, operating in over 90 countries.

The corporate actions are grouped into nine key event type categories with numerous sub-types:

**Capital Changes:** Bonus Issue, Consolidation, Enfranchisement, Distribution, Forward Stock Split, Par Value Change, Recapitalise, Redenomination, Renominalisation, Reverse Stock Split, Rights Offering, Unit Split, Spin Off, Scheme of Arrangement

**Dividends:** Cash Dividend, Disbursement, Dividend Waived, Return of Capital, Scrip Dividend, Stock Dividend, Dividend Reinvestment Plans

**Earnings:** Actual Earnings, Forecasted Earnings, Adjusted Earnings

**Payments:** Cash Payment, Interest Payment, Payment Waived, Return of Debt, Scrip Payment.

**Mergers:** Merger, Merger Election, Offer to Buy, Takeover, Demergers

**Redemptions:** Call, Escrow to Maturity, Fixed Redemption, Full Call, Partial Call, Partial Pre-refunded. Pre-refunded. Repayment, Sinking Fund Redemption

**Repurchases:** Buyback, Consent, Consent Tender, Conversion, Exchange, Exercise, Odd Lot Tender, Partial Put/Retention Option, Put Mandatory, Put Optional, Relinquishment Option, Retainment Option, Tender Offer

**Shareholder Meetings:** Shareholder Meeting, Annual General Meeting, Extraordinary Meeting.

**Information Announcements:** Bankruptcy, Default, Information, Liquidation, Name Change, New Offer, Poison Pill

**Descriptive and static data:** Provision of hundreds of items including industry classifications (including GICS and ICB), index constituent data, general classification data and details on liability to taxes and levies such as Stamp Duty, PTM Levy and EUSD.

#### **Coding:**

Comprehensive population of coding data across industry identification standards including SEDOL<sup>®</sup>, ISIN, and CUSIP<sup>®</sup>, along with local ticker, Belgian, German and EuroClear/CEDEL codes.

#### **Underlying linkages:**

Linkages to main/dual listings are provided on all securities. Linkages to derivatives and linked securities are shown on equities, fixed-income securities and funds. Linkages to underlying securities are available on warrants, depository receipts and other derivatives.

### ■ Flexible delivery

On-demand, intra-day, and end-of-trading day access to complete corporate actions data sets are available from Interactive Data. This data can be delivered in industry standard ISO 15022 or proprietary format files. It can also be customised based on client specific processing needs.

### ■ Corporate action process flows

Interactive Data recognises the difficulties attached to corporate actions automation, especially when complex event types do not completely fit into an industry standard format. As a result, Interactive Data has applied its vast experience to define the corporate action process flows.

These process flows have been specifically developed to give customers a logical rules-based methodology for categorising and defining Interactive Data's corporate actions data.

Providing an invaluable resource to aid the integration of Interactive Data's corporate actions with existing data sources and within internal workflow, the process flows include:

- Grouping of specific event types to establish the key processing decisions that will need to be addressed at initial notification stage

- Identification and capture of specific features required to correctly process actions in each event grouping
- Ability to determine mandatory and optional actions at the appropriate point in the process to make informed business decisions
- Guidance on how to treat regional differences in regional presentation of events

### ■ Service options

Interactive Data understands the challenges of maintaining data across an organisation. Many clients employ data warehousing and downstream applications to manage the data capture and update process. Interactive Data is a valued provider of data for a large number of global third party vendors, including corporate actions processing systems, trust and accounting providers and enterprise data management (EDM) suppliers.

The Corporate Actions Service allows clients to choose the types of data and security coverage they wish to receive.

### ■ Experience

Interactive Data has decades of experience in gathering, updating, validating and delivering corporate actions data, covering multiple markets and instrument types. Interactive Data works closely with its clients to help meet their needs and always aims to be at the forefront of the industry, leading the way in integrating industry standards to deliver value-added data that is richer and easier to manage than traditionally-coded datafeeds.

Interactive Data tracks numerous sources daily to collect and validate information to create a composite record of each event. These events are tracked throughout the lifecycle of the corporate action, providing critical updates and amendments as they are announced. Interactive Data's global data collection team uses a broad range of sources, including corporate filings, exchange feeds, transfer agents, newswire services and other informative sources.

Data collection operations around the world with local market and language expertise help ensure a comprehensive corporate actions service. Interactive Data's extensive experience and wealth of knowledge, combined with its close work with formal

standards bodies and industry associations, are fundamental to delivering its award-winning global Corporate Actions Service.

*\*Interactive Data was recognised as 'Best Corporate Actions Data Provider' at the Inside Market Data/Inside Reference Data Awards in 2008 and 2009, and 'Best Corporate Actions and Reference Data Provider' at the (UK) Systems in the City Awards in both 2009 and 2010.*

## About Interactive Data

Interactive Data Corporation is a trusted leader in financial information. Thousands of financial institutions and active traders, as well as hundreds of software and service providers, subscribe to our fixed income evaluations, reference data, real-time market data, trading infrastructure services, fixed income analytics, desktop solutions and web-based solutions. Interactive Data's offerings support clients around the world with mission-critical functions, including portfolio valuation, regulatory compliance, risk management, electronic trading and wealth management. Interactive Data is headquartered in Bedford, Massachusetts and has over 2,400 employees in offices worldwide.

For more information, please visit [www.interactivedata.com](http://www.interactivedata.com).

Interactive Data's Pricing and Reference Data business provides global securities pricing, evaluations and reference data designed to support financial institutions' and investment funds' pricing activities, securities operations, research and portfolio management. Interactive Data collects, edits, maintains and delivers data on more than 6 million securities, including daily evaluations for approximately 2.8 million fixed income and international equity issues. Interactive Data specializes in 'hard-to-get' information and evaluates many 'hard-to value' instruments.

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